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#3 ✓
Atty. Docket No. 98088CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hung et al.
Serial No: 09/715,634
Filed: November 17, 2000
For: CERIA COMPOSITION AND PROCESS FOR PREPARING SAME

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

COMPLETION OF FILING REQUIREMENTS

- I. In response to the "Notice to File Missing Parts of Nonprovisional Application," mailed April 5, 2001, enclosed please find the following documents for the above-identified application.
- ☒ A copy of the "Notice to File Missing Parts of Nonprovisional Application -- Filing Date Granted"
 - ☒ Two Original Combined Declaration and Power of Attorney Documents (3 pages each).
 - ☒ Also enclosed is a copy of the "Request for Corrected Filing Receipt" filed on even date herewith. The original is being submitted under separate cover for charging purposes.

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as attached or enclosed) is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the: Box Missing Parts, Commissioner for Patents, Washington, D. C. 20231 on April 17, 2001.

Lyn M. Coven
Name of Person Mailing Paper

Lyn M. Coven
Signature of Person Mailing Paper

LARGE ENTITY STATUS

II. Applicant is other than an small entity.

COMPLETION FEES

III. Completion Fees

1. Filing Fee

☐ Original Patent Application \$
(37 CFR 1.16(a) --\$710.00)

2. Fees for claims

☐ each independent claim in excess of 3 \$
(37 CFR 1.16(b) -- \$80.00)

☐ each claim in excess of 20 \$
(37 CFR 1.16(c) -- \$18.00)

☐ multiple dependent claim(s) \$
(37 CFR 1.16(d) -- \$260.00)

3. Surcharge Fees

☐ late payment of filing fee
and/or

☒ late filing of original declaration or oath \$ 130.00
(37 CFR 1.16(e) -- \$130.00)

EXTENSION OF TERM

IV. Extension of Term

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

- ☐ Applicant petitions for an extension of time under 37 CFR 1.17(a)-(d) for the total number of months checked below:

Extension (months)	Fee for other than small entity
-----------------------	------------------------------------

<input type="checkbox"/> One month	\$ 110.00
<input type="checkbox"/> Two months	\$ 390.00
<input type="checkbox"/> Three months	\$ 890.00
<input type="checkbox"/> Four months	\$ 1390.00

Extension Fee Due \$

- ☐ *If an additional extension of time is required please consider this a petition therefor.*

- ☐ An extension for month(s) has already been secured and the fee paid therefor of \$ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$.

or

- ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

TOTAL FEE DUE

V. The total fee due is:

<input checked="" type="checkbox"/> Completion Fee(s)	\$ 130.00
<input type="checkbox"/> Extension Fee (if any)	\$

Total Fee Due \$ 130.00

PAYMENT OF FEES

- VI. ☐ Enclosed is a check in the amount of \$.
- ☒ Please charge **Deposit Account Number 03-0060** of Cabot Corporation the sum of **\$ 130.00**

AUTHORIZATION TO CHARGE ADDITIONAL FEES

- VII. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper under 37 CFR 1.16, or processing fees under 37 CFR 1.17 associated with this communication and during the entire pendency of this application to **Deposit Account Number 03-0060**. Please credit any over-payments to **Deposit Account Number 03-0060**.

This transmittal is being filed in duplicate.

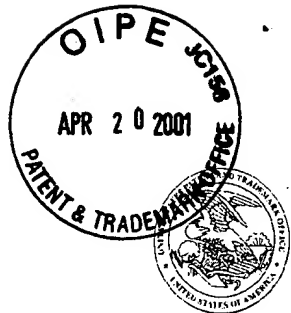
Respectfully submitted,



Michelle B. Lando
Reg. No. 33,941
Cabot Corporation
Law Department
157 Concord Road
Billerica, MA 01821

Tel. No. (978) 670-7030

98088conw-corrfilec.doc



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/715,634	11/17/2000	Cheng-Hung Hung	98088X207433

CONFIRMATION NO. 7799

FORMALITIES LETTER



OC000000005937200

Michelle B. Lando
Cabot Corporation
Billerica Technical Center
157 Concord Road
Billerica, MA 01821-7001

Date Mailed: 04/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

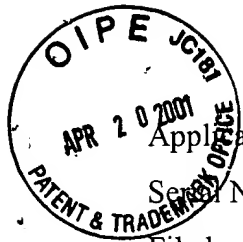
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/24/2001 MBERHE 00000068 030060 09715634

01 FC:105

130.00 CH

RECEIPT \$
Docket No. 98088CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hung et al.

Group No.: 1755

Serial No.: 09/715,634

Examiner:

Filed: November 17, 2000

For: CERIA COMPOSITION AND PROCESS FOR PREPARING SAME

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

- Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error with respect to the following data, which is:

☒ Incorrectly entered and/or ☐ omitted.

*Error In**Correct Data*

- | | |
|--|--------------------------------|
| 1. <input checked="" type="checkbox"/> Applicant's name and Address | 1. Joseph D. Smith, Owasso, OK |
| 2. <input type="checkbox"/> Title | 2. |
| 3. <input type="checkbox"/> Filing date | 3. |
| 4. <input type="checkbox"/> Serial Number | 4. |
| 5. <input type="checkbox"/> Foreign/PCT Application | 5. |
| 6. <input type="checkbox"/> Docket No. | 6. |
| 7. <input type="checkbox"/> Attorney Name/Address | 7. |
| 8. <input type="checkbox"/> Continuing Data as Claimed
by Applicant | 8. |

Certification under 37 CFR 1.8

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on April 17, 2001, as first class mail with sufficient postage in an envelope addressed to the: Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D. C. 20231.

Lyn M. Covenor

Name of Person Mailing Paper

Lyn M. Covenor

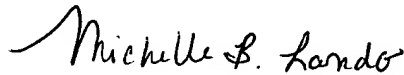
Signature of Person Mailing Paper

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3. (Complete the following applicable item)

- A. ☐ The correction(s) is/are not due to any error by applicant and no fee is due.
- B. ☒ At least one of the above correction(s) is due to applicant's error and the fee therefor, under 37 CFR 1.19(h), of \$25.00 is paid as follows:
- ☐ Enclosed is a check for \$25.00.
- ☒ Please Charge Deposit Account No. 03-0060 the fee of \$25.00.
A duplicate copy of this request is attached.

Respectfully submitted,

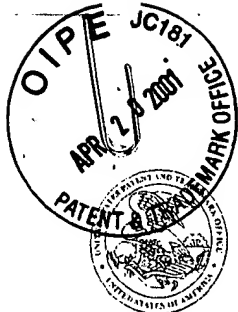


Michelle B. Lando

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Date: April 17, 2001

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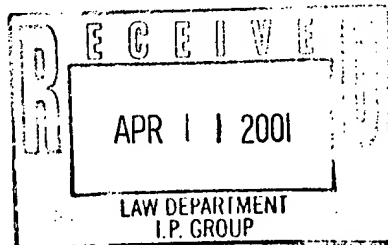
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT. CLAIMS	IND CLAIMS
09/715,634	11/17/2000	1755	1340	98088X207433	3	55	2

CONFIRMATION NO. 7799

FILING RECEIPT



OC000000005937199

Michelle B. Lando
Cabot Corporation
Billerica Technical Center
157 Concord Road
Billerica, MA 01821-7001

Date Mailed: 04/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Cheng-Hung Hung, Champaign, IL;
Joseph D. Smith, ~~Tuscola, IL~~; Owasso, OK
George P. Fotou, Champaign, IL;
Kenneth C. Koehlert, Carlisle, IL;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/165,955 11/17/1999

Foreign Applications

If Required, Foreign Filing License Granted 04/04/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

Ceria composition and process for preparing same

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Date: 04/05/2001

1. 本行在 2019 年 12 月 31 日及 2020 年 6 月 30 日，均无因金融资产转移而终止确认的金融资产。

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231